

# Decision Problems in Algebra

(FCUL Summer School)

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**FCT** Fundação para a Ciência e a Tecnologia  
MINISTÉRIO DA CIÊNCIA, TECNOLOGIA E ENSINO SUPERIOR

Acknowledgement:

This work was supported by CMA within the projects  
UID/MAT/00297/2013  
PTDC/MHC-FIL/2583/2014  
financed by 'Fundação para a Ciência e a Tecnologia'

June 2018

# Outline

## Fundamental Dehn's Decision Problems

### Undecidability

- H10;
- Turing Machines;
- Recursively enumerable and recursive sets;
- The Halting problem;
- Undecidability of the word problem;
- Markov properties.

### Related topics

Do there exist integers  $x, y, z$  such that

$$x^3 + y^3 + z^3 = 29?$$

Yes:  $(x, y, z) = (3, 1, 1)$ .

$$x^3 + y^3 + z^3 = 30?$$

Yes:  $(x, y, z) = (-283059965, -2218888517, 2220422932)$ .

$$x^3 + y^3 + z^3 = 33?$$

Unknown.



David Hilbert

### Hilbert's tenth problem (H10)

Find an **algorithm** that solves the following problem:

**input:** a multivariable polynomial  $f(x_1, \dots, x_n)$  with integer coefficients;

**output:** YES or NO, according to whether there exist integers  $a_1, a_2, \dots, a_n$  such that  $f(a_1, \dots, a_n) = 0$ .

Theorem (Davis–Putnam–Robinson 1961 + Matiyasevich 1970)

No such algorithm exists!

To be precise we need to know ...

What is an algorithm?

Such notions were introduced in the 1930s by ...

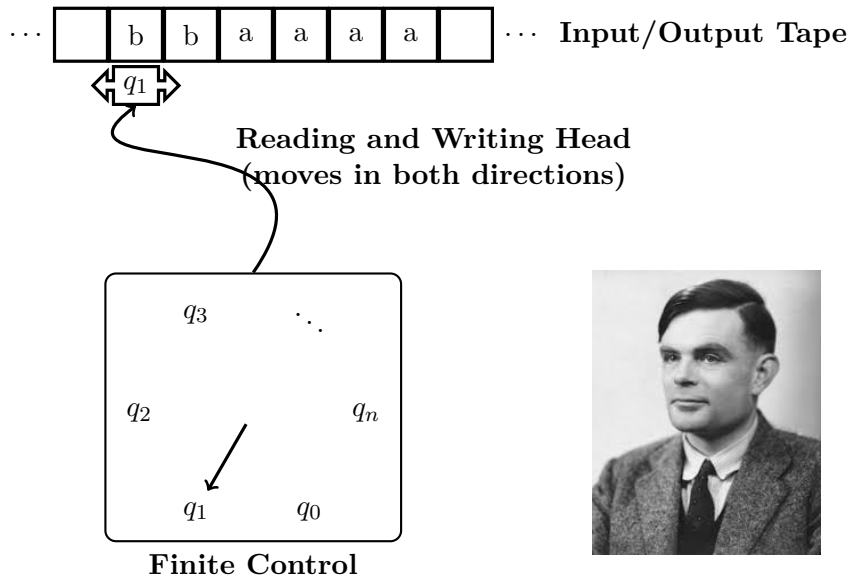


Alonzo Church



Alan Turing

# Turing Machines



Alan Turing

# The Church–Turing thesis

*Any finite written description of a deterministic step-by-step computation is equivalent to some Turing machine.*

*Moreover, there is a construction that, given such a finite description, will give us an explicit Turing machine  $T$  that carries out the original computation.*

# Turing Machines

- $T$  a Turing Machine
- $w$  a word in the alphabet  $A$
- $T(w)$  the computation of the TM on input  $w$
- $T(w)$  **halts** if the computation  $T(w)$  halts eventually in finitely many steps - write  $T(w) \downarrow$
- if  $T$  never reaches its halting state on input  $w$ , then we say  $T(w)$  **does not halt**, and write  $T(w) \uparrow$
- the language recognized by  $T$  is  $\Omega(T) = \{w \in A^+ \mid T(w) \downarrow\}$

## Recursively enumerable

A subset  $S$  of  $A^+$  is called **recursively enumerable** if  $S = \Omega(T)$  for some Turing machine  $T$ .

## Recursive

A subset  $S$  of  $A^+$  is called **recursive** if both  $S$  and  $A^+ \setminus S$  are recursively enumerable.



# Turing Machines

Any Turing machine  $T$  on (ordered) alphabet  $A$  defines a partial function  $f : \mathbb{N} \rightarrow \mathbb{N}$ .

Every Turing machine can be encoded as a natural number.

## Theorem (Turing 1937)

There exists a universal Turing machine. That is, a Turing machine which can simulate the action of every Turing machine.

## Sketch of the proof:

Define an algorithm  $T$  as follows: on input of an integer  $x = \langle m, n \rangle$ ; compute  $T_m(n)$ .

So  $T$  is such that  $T(x) = T_m(n)$  (that is, if  $T_m(n) \downarrow$  with output  $k$  then  $T(x) \downarrow$  with output  $k$ , and if  $T_m(n) \uparrow$  then  $T(x) \uparrow$ ).

# The halting problem

## The Halting Problem

**input:** a Turing machine  $T$  and an input  $w$ ;

**output:** YES or NO, according to whether  $T$  halts on  $w$  or not.

## Definition (The Halting set)

$$\mathbb{K} = \{n \in \mathbb{N} \mid T_n(n) \downarrow\}.$$

## Theorem

The halting set  $\mathbb{K}$  satisfies the following:

- 1  $\mathbb{K}$  is recursively enumerable;
- 2  $\mathbb{K}$  is not recursive.

# The halting problem

## Proof (sketch):

(1) In the same way that we defined a universal Turing machine  $T(x) := T_m(n)$  (where  $x = \langle m, n \rangle$ ), we can define a ‘restricted’ universal Turing machine that computes one entry of each Turing machine, by  $T'(n) := T_n(n)$ .

(2) Suppose that  $\mathbb{K}$  was recursive. So there exists some TM,  $m := T_m$ , such that  $\Omega(m) = \mathbb{N} \setminus \mathbb{K}$ . We have:

$$\begin{aligned} m \in \mathbb{K} &\Leftrightarrow T_m(m) \downarrow \\ &\Leftrightarrow m \in \Omega(m) \\ &\Leftrightarrow m \in \mathbb{N} \setminus \mathbb{K} \\ &\Leftrightarrow m \notin \mathbb{K}. \end{aligned}$$

Contradiction.

## Back to H10

### Definition (Diophantine set)

$A \subseteq \mathbb{Z}$  is called **diophantine** if there exists  $p(t, \vec{x}) \in \mathbb{Z}[t, x_1, \dots, x_m]$  such that

$$A = \{a \in \mathbb{Z} \mid (\exists \vec{x} \in \mathbb{Z}^m) p(a, \vec{x}) = 0\}.$$

### Example

The subset  $\mathbb{N}$  of  $\mathbb{Z}$  is diophantine, since for  $a \in \mathbb{Z}$ ,

$$a \in \mathbb{N} \Leftrightarrow (\exists x_1, \dots, x_4 \in \mathbb{Z}) x_1^2 + x_2^2 + x_3^2 + x_4^2 = a.$$

### Theorem (Davis–Putnam–Robinson & Matiyasevich)

Diophantine  $\Leftrightarrow$  Recursively enumerable

- The unsolvability of the halting problem provides a recursively enumerable set for which no algorithm can decide membership.
- So there exists a diophantine set for which no algorithm can decide membership.

# Undecidability of the word problem

Given a f.p. group  $G$ , we have

## Word problem for $G$ :

**input:** a word  $w$  in the generators of  $G$

**output:** YES or NO, according to whether  $w$  represents the identity in  $G$ .

## Theorem (Novikov & Boone (independently) 1950's)

There exists a f.p. group  $G$  such that the word problem for  $G$  is undecidable.

## Proof strategy:

Construct a group  $G$  for which solve the word problem is at least as hard as solving the halting problem.

## Corollary

The uniform word problem is undecidable.

# Markov properties

## Definition

A property of f.p. groups is said to be a **Markov property** if:

- there exists a f.p. group  $G$  with the property; and
- there exists a f.p. group  $H$  that cannot be embedded in any f.p. group with the property.

## Example (of Markov properties)

- being finite;
- trivial;
- abelian;
- free;
- ...

# Markov properties

## Theorem (Adian & Rabin 1955-1958)

For each Markov property  $\mathcal{P}$ , the problem of deciding whether an arbitrary f.p. group has  $\mathcal{P}$  is undecidable.

## Sketch of proof:

Embed the uniform word problem in this  $\mathcal{P}$  problem: Given an f.p. group  $G$  and a word  $w$  in its generators, build another f.p. group  $K$  such that

$$K \text{ has } \mathcal{P} \Leftrightarrow w = 1 \text{ in } G.$$